



Certification Cross Reference No.: _____
(optional. For use with continuation sheet)

Canada-United States-Mexico Agreement (CUSMA) /

United States-Mexico-Canada Agreement (USMCA) / Tratado Mexico, Estados Unidos, Canada (T-MEC)

SINGLE SHIPMENT CERTIFICATION OF ORIGIN

1. Indicate if the certifier of the Certification of Origin is the: Importer ☐ Exporter ☐ Producer ☐

2. Certifier name, address, country, phone and email

3. Exporter name, address, country, phone and email

4. Producer name, address, country, phone and email

5. Importer name, address, country, phone and email

6(a)(1). Product Number(s) and Description of good(s)

6(a)(2). HS Tariff
Classification

7. Origin
Criteria

7.1 Country
of Origin

Use continuation sheet to list additional goods if necessary. Show Cross Reference No. at top of both forms.

6(b). For a single shipment, indicate the Invoice No(s) and their date(s): _____

8. Not applicable (For multiple shipments, please use the Multiple Shipment Certification form with blanket period coverage)

9. I certify that the goods described in this document qualify as originating and the information contained in this document is true and accurate. I assume responsibility for proving such representations and agree to maintain and present upon request or to make available during a verification visit, documentation necessary to support this certification.

Name of certifier

Title of certifier

Authorized Signature of certifier

Date signed by certifier

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CERTIFICATION OF ORIGIN INSTRUCTIONS - ANNEX 5-A MINIMUM DATA ELEMENTS

For purposes of obtaining preferential tariff treatment, this document may be completed legibly and in full by the producer, exporter or importer and must be in the possession of the importer at the time the declaration is made. Please print or type.

Field 1: Importer, Exporter, or Producer Certification of Origin

Indicate whether the certifier is the exporter, producer, or importer in accordance with Article 5.2 (Claims for Preferential Tariff Treatment).

Field 2: Certifier

Provide the certifier's name, title, complete address (including country), telephone number, and email address.

Field 3: Exporter

Provide the exporter's name, address (including country), e-mail address, and telephone number if different from the certifier. This information is not required if the producer is completing the certification of origin and does not know the identity of the exporter. The address of the exporter shall be the place of export of the good in a Party's territory.

Field 4: Producer

Provide the producer's name, address (including country), e-mail address, and telephone number, if different from the certifier or exporter or, if there are multiple producers, state "Various" or provide a list of producers. A person that wishes for this information to remain confidential may state "Available upon request by the importing authorities". The address of a producer shall be the place of production of the good in a Party's territory.

Field 5: Importer

Provide, if known, the importer's name, address, e-mail address, and telephone number. The address of the importer shall be in a Party's territory.

Field 6: Description and HS Tariff Classification of the Good

(a) Provide a description of each good and the HS tariff classification of each good to the 6-digit level. The description should be sufficient to relate it to the good covered by the certification; and

(b) If the certification of origin covers a single shipment of a good, indicate, if known, the invoice number related to the exportation.

Field 7: Origin Criteria

For each good described in Field 6(a), specify the origin criteria under which the good qualifies, as set on Article 4.2 (below).

Article 4.2: Originating Goods

Except as otherwise provided in this Chapter, each Party shall provide that a good is originating if it is:

- (a) wholly obtained or produced entirely in the territory of one or more of the Parties, as defined in Article 4.3 (Wholly Obtained or Produced Goods);
- (b) produced entirely in the territory of one or more of the Parties using non-originating materials provided the good satisfies all applicable requirements of Annex 4-B (Product-Specific Rules of Origin);
- (c) produced entirely in the territory of one or more of the Parties exclusively from originating materials; or
- (d) except for a good provided for in Chapter 61 to 63 of the Harmonized System:
 - (i) produced entirely in the territory of one or more of the Parties;
 - (ii) one or more of the non-originating materials provided for as parts under the Harmonized System used in the production of the good cannot satisfy the requirements set out in Annex 4-B (Product-Specific Rules of Origin) because both the good and its materials are classified in the same subheading or same heading that is not further subdivided into subheadings or, the good was imported into the territory of a Party in an unassembled or a disassembled form but was classified as an assembled good pursuant to rule 2(a) of the General Rules of Interpretation of the Harmonized System; and
 - (iii) the regional value content of the good, determined in accordance with Article 4.5 (Regional Value Content), is not less than 60 percent if the transaction value method is used, or not less than 50 percent if the net cost method is used; and the good satisfies all other applicable requirements of this Chapter.

Field 7.1: Country of Origin

For each good described in Field 6(a), specify the country of origin (CA, US or MX).

Field 8: Blanket Period

Include the period if the certification covers multiple shipments of identical goods for a specified period of up to 12 months as set out in Article 5.2 (Claims for Preferential Tariff Treatment).

Field 9: Authorized Signature and Date

The certification must be signed and dated by the certifier and accompanied by the following statement:

I certify that the goods described in this document qualify as originating and the information contained in this document is true and accurate. I assume responsibility for proving such representations and agree to maintain and present upon request or to make available during a verification visit, documentation necessary to support this certification.